

**From:** [Sandra Powers](#)  
**To:** [Comments](#)  
**Subject:** Docket 034  
**Date:** Wednesday, December 11, 2013 6:10:43 PM

---

To Whom It May Concern,

I support Lisa Roth's position on this matter and would appreciate your careful consideration of it. Thank you.

Sandra Powers  
ARK Global LLC  
Office: [1-800-676-2921](tel:1-800-676-2921)  
Mobile: [1-781-572-5288](tel:1-781-572-5288)  
Email: [powers@arkglobalonline.com](mailto:powers@arkglobalonline.com)  
[2345 Washington Street Suite 303](#)  
[Newton Lower Falls, MA 02462](#)

*Securities offered through Compass Securities Corporation, member FINRA, SIPC; [50 Braintree Hill Office Park, Suite 105, Braintree, MA, 01284](#); T: [781-535-6083](tel:781-535-6083)*

*This electronic message contains information from ARK Global LLC that is privileged and confidential. The information is intended to be for the use of the addressee only. Note that any disclosure, copy, distribution or other use of the contents of this message is prohibited. It is not intended for transmission to, or receipt by, anyone other than the named addressee (or a person authorized to deliver to the named addressee). It should not be copied or forwarded to any unauthorized persons. If you have received this electronic mail transmission in error, please delete it from your system without copying or forwarding it, and notify the sender of the error by reply email or by telephone, so that the sender's address records can be amended. This is not a solicitation. This material is for educational purposes only and is not meant to be investment advice. This material may represent an assessment of the market environment at a specific point in time and is not intended to be a forecast of future events, or a guarantee of future results. To determine if the Fund(s) are an appropriate investment for your clients, carefully consider the investment objectives, risk factors, charges and expenses before recommending any investment. This and other information can be found in a fund's prospectus, or other offering information specific to the investment structure, which can be obtained by calling [1-800-676-2921](tel:1-800-676-2921) or the specific contact information provided in the investment materials. Read all materials carefully before considering investing. Diversification may not protect against market risk. Current and future portfolio holdings are subject to risks as well. Mutual Funds involve risk including the possible loss of principal. Investing in the commodities markets may subject an investment to greater volatility than investments in traditional securities. There is a risk that issuers and counterparties will not make payments on securities and other investments held, resulting in losses. Derivative instruments involve risks different from, or possibly greater than, the risks associated with investing directly in securities and other traditional investments. Using derivatives to increase an investment's combined long and short exposure creates leverage, which can magnify an investment's potential for gain or loss. Non-diversification risk, as a particular investment may be more vulnerable to events affecting a single issuer. An investment may focus its investments in securities of a particular sector. Economic, legislative or regulatory developments may occur that significantly affect the entire sector. This may cause the investment's net asset value to fluctuate more than that of an investment that does not focus in*

*a particular sector. Short positions may be considered speculative transactions and involve special risks, including greater reliance on the ability to accurately anticipate the future value of a security or instrument. Underlying investments within a Fund of Funds investment are subject to investment advisory and other expenses, which will be indirectly paid by the investment. As a result, the cost of investing in a fund of funds investment will be higher than the cost of investing directly in an underlying investment and may be higher than other mutual funds or non-mutual fund investments that invest directly in stocks and bonds. In addition to the normal risks associated with equity investing, international investments may involve risk of capital loss from unfavorable fluctuation in currency values, from differences in generally accepted accounting principles, or from economic or political instability in other nations. Narrowly focused investments and smaller companies typically exhibit higher volatility. Bonds and bond funds will decrease in value as interest rates rise. Index performance returns do not reflect any management fees, transaction costs or expenses. One cannot invest directly in an index. Past performance does not guarantee future results. Not FDIC Insured. No Bank Guarantee. May Lose Value.*